



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

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#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/4389, 057	09/03/99	SANO	\$ 7217/59652

0232/0922

JAY H MATOLI
COOPER & DUNHAM LLP
1185 AVENUE OF THE AMERICAS
NEW YORK NY 10036

NOT ASSIGNED

2731

DATE MAILED:

09/22/99

W.D

Due: 11/22/99

emo: 3/22/2000

W.D

NOTICE TO FILE MISSING PARTS OF APPLICATION

~~Filing Date Granted~~

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER: _____

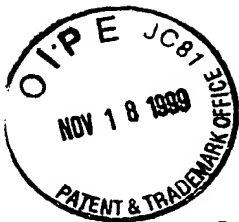
Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

[Signature]

Customer Service Center
Initial Patent Examination Division (703) 308-1202

3/1999 ASHLE 00000013 0938587 130.00 DP
C:105



7217/59652

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shigeyuki Sano et al.
Serial No.: 09/389,557
Filed : September 3, 1999
For : CONTROL APPARATUS AND VIDEO EQUIPMENT
Attention : Customer Service Center
Initial Patent Examination Division

I hereby certify that this paper is being deposited this date with the U.S. Postal Service in first class mail addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Jay H. Maioli
Reg.No. 27,213

Date
November 15, 1999

November 15, 1999
1185 Avenue of the Americas
New York, NY 10036
(212) 278-0400

RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION-FILING DATE GRANTED

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

Sir:

In response to the Notice To File Missing Parts of Application-Filing Date Granted mailed September 22, 1999, Applicants hereby submit a newly executed Declaration that identifies the above application by serial number and filing date. The required surcharge is also submitted herewith along with a copy of the subject Notice.

The Office is hereby authorized to charge any additional fees which may be required in connection with this

amendment and to credit any overpayment to our Deposit Account No. 03-3125.

If a petition for an extension of time is required to make this response timely, this paper should be considered to be such a petition, and the Commissioner is authorized to charge the requisite fees to our deposit account No. 03-3125.

Respectfully submitted,

COOPER & DUNHAM LLP



Jay H. Maioli
Reg. No. 27,213

JHM:rv
Enc.